



1646

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U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

# FEE TRANSMITTAL for FY 2002

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT (\$) 1110.00

Complete if Known

Application Number 09/817,487  
Filing Date 03/26/2001  
First Named Inventor Pippig  
Examiner Name Ruixiang Li  
Group Art Unit 1646  
Attorney Docket No. 4-31193A

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## METHOD OF PAYMENT

1. ☒ The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

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Number

19-0134

Deposit  
Account  
Name

Novartis Corporation

☐ Charge Any Additional Fee Required  
Under 37 CFR 1.16 and 1.17

☐ Applicant claims small entity status.  
See 37 CFR 1.27

2. ☐ Payment Enclosed:

☐ Check ☐ Credit card ☐ Money  
Order ☐ Other

## FEE CALCULATION

### 1. BASIC FILING FEE

Large Entity Small Entity

Fee Fee Fee Fee  
Code (\$) Code (\$) Fee Description

Fee Paid

101	740	201	370	Utility filing fee	
106	330	206	165	Design filing fee	
107	510	207	255	Plant filing fee	
108	740	208	370	Reissue filing fee	
114	160	214	80	Provisional filing fee	

SUBTOTAL (1) (\$)

### 2. EXTRA CLAIM FEES

Total Claims  -20\*\* =  X  =   
Independent Claims  -3\*\* =  X  =   
Multiple Dependent  =

Large Entity Small Entity

Fee Fee Fee Fee  
Code (\$) Code (\$) Fee Description

103	18	203	9	Claims in excess of 20	
102	84	202	42	Independent claims in excess of 3	
104	280	204	140	Multiple dependent claim, if not paid	
109	84	209	42	** Reissue independent claims over original patent	
110	18	210	9	** Reissue claims in excess of 20 and over original patent	

SUBTOTAL (2) (\$)

\*\*or number previously paid, if greater; For Reissues, see above

## FEE CALCULATION (continued)

### 3. ADDITIONAL FEES

Large Entity Fee Code	Large Entity Fee (\$)	Small Entity Fee Code	Small Entity Fee (\$)	Fee Description	Fee Paid
105	130	205	65	Surcharge - late filing fee or oath	
127	50	227	25	Surcharge - late provisional filing fee or cover sheet	
139	130	139	130	Non-English specification	
147	2,520	147	2,520	For filing a request for <i>ex parte</i> reexamination	
112	920*	112	920*	Requesting publication of SIR prior to Examiner action	
113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action	
115	110	215	55	Extension for reply within first month	
116	400	216	200	Extension for reply within second month	
117	920	217	460	Extension for reply within third month	930.00
118	1,440	218	720	Extension for reply within fourth month	
128	1,960	228	980	Extension for reply within fifth month	
119	320	219	160	Notice of Appeal	
120	320	220	160	Filing a brief in support of an appeal	
121	280	221	140	Request for oral hearing	
138	1,510	138	1,510	Petition to institute a public use proceeding	
140	110	240	55	Petition to revive - unavoidable	
141	1,280	241	640	Petition to revive - unintentional	
142	1,280	242	640	Utility issue fee (or reissue)	
143	460	243	230	Design issue fee	
144	620	244	310	Plant issue fee	
122	130	122	130	Petitions to the Commissioner	
123	50	123	50	Processing fee under 37 CFR 1.17(q)	
126	180	126	180	Submission of Information Disclosure Stmt	180.00
581	40	581	40	Recording each patent assignment per property (times number of properties)	
146	740	246	370	Filing a submission after final rejection (37 CFR § 1.129(a))	
149	740	249	370	For each additional invention to be examined (37 CFR § 1.129(b))	
179	740	279	370	Request for Continued Examination (RCE)	
169	900	169	900	Request for expedited examination of a design application	

Other fee (specify) \_\_\_\_\_

\*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$) 1110.00

## SUBMITTED BY

Name (Print/Type) J. Timothy Meigs

Registration No. 38,241  
(Attorney/Agent)

Complete (if applicable)

Telephone 301-258-4715

Signature

*J. Timothy Meigs*

Date 02/26/2003

WARNING: Information on this form may become public. Credit card information should not  
be included on this form. Provide credit card information and authorization on PTO-2038.

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on  
the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC  
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CERTIFICATE OF MAILING

I hereby certify that this paper (along with any paper referred to as being attached or enclosed) is being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to the: U.S. Patent & Trademark Office, P.O. Box 2327, Arlington, VA 22202

Marcia Rossell  
Type or print name

*Marcia Rossell*  
Signature

February 26, 2003  
Date

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE PCT APPLICATION OF

PIPPIG, ET AL.

APPLICATION NO: 09/817,487

FILED: MARCH 26, 2001

FOR: SELECTABLE MARKER GENES

Confirmation No. 9170

Art Unit: 1646

Examiner: Ruixiang Li

Atty. Docket: 4-31193A

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U.S. Patent & Trademark Office  
P.O. Box 2327  
Arlington, Virginia 22202

TRANSMITTAL LETTER

Sir:

Enclosed please find the following:

- a) Reply Under 37 CFR §1.111 with three month Extension of Time;
- b) Declaration of Prior Invention Under 37 CFR §1.131 (2 signed copies) with Exhibits A and B;
- c) Five (5) sheets of formal drawings;
- d) Communication Forwarding Formal Drawings
- e) Copy of Notice of Draftsperson's Patent Drawing Review;
- f) Second Supplemental Information Disclosure Statement, PTO form 1449, and cited references;
- g) Fee Transmittal Sheet; and
- h) Postcard receipt.

The Commissioner is hereby authorized to charge any fees that may be required by this transmittal letter, or to credit any overpayment to Deposit Account No. 19-0134 in the name of Novartis Corporation.

Novartis  
Corporate Intellectual Property  
One Health Plaza, Bldg. 430  
East Hanover, NJ 07936-1080

Date: February 26, 2003

Respectfully submitted,

*J. Timothy Meigs*  
J. Timothy Meigs  
Attorney for Applicants  
Reg. No. 38,241  
(301) 258-4715



**CERTIFICATE OF MAILING**

I hereby certify that this paper (along with any paper referred to as being attached or enclosed) is being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to the: U.S. Patent & Trademark Office, P.O. Box 2327, Arlington, VA 22202

Marcia Rossell  
Type or print name

Marcia Rossell  
Signature

February 26, 2003  
Date

#14  
MQJ  
3/18/03

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF

PIPPIG, ET AL.

APPLICATION NO: 09/817,487

FILED: MARCH 26, 2001

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U.S. Patent & Trademark Office  
P.O. Box 2327  
Arlington, VA 22202  
**Attention: Official Draftsperson**

COMMUNICATION FORWARDING FORMAL DRAWINGS

Sir:

In response to the Notice of Draftsperson's Drawing Review (PTO Form 948) dated August 27, 2002, applicant encloses herewith a new set of formal drawings for FIGS. 1, 2, 3, 4, 5 (five sheets total).

Notice of the approval of these drawings is respectfully requested.

Respectfully submitted,

Novartis  
Corporate Intellectual Property  
One Health Plaza, Bldg. 430  
East Hanover, NJ 07936-1080  
(301) 258-4715

J. Timothy Meigs  
J. Timothy Meigs  
Attorney for Applicant  
Reg. No. 38,241

Date: February 26, 2003

Case No. 4-31193 A  
 Application No. 09/817,487  
 Mailing Date: FEBRUARY 26, 2003  
MARCH 3, 2003

Case No. 4-31193 A  
 Application No. 09/817,487  
 Mailing Date: FEBRUARY 26, 2003  
 Due Date: MARCH 3, 2003

Express Mail No.: ✓  
 The Patent & Trademark Office acknowledges, and has stamped  
 hereon the date of receipt of the items checked below:

- ☒ Amendment/Response/Letter - Fee \$  
☐ Appln. Filing Papers - Fee \$  
☐ PCT National Stage  
☐ Provisional Application  
☐ CPA ☐ DIV ☐ CONT ☐ CIR  
☐ Specification Pg's  
☐ Executed/Unexecuted Design Fee \$  
☐ Missing Parts/Missing Rep.  
☐ Preliminary Amendment  
☐ Claim of Priority (List C, D, E, F, G, H, I, J, K, L, M, N, O, P, Q, R, S, T, U, V, W, X, Y, Z)  
☐ Amendment After Finality  
☐ Notice of Appeal - Fee \$  
☐ Appeal Brief - Fee \$  
☐ Issue Fee Payment \$  
☐ Assignment Rec. Req. - Fee \$  
☒ Formal Drawings 5 Pg's  
☒ IDS 1 Pg's - Fee \$ 180 (2nd. SUPPLEMENTAL)  
☒ PTO-1449 Form 1 Pg's + 3 references  
☒ Pet. for Ext. of Time - Fee \$ 930 (3 mos.)  
☐ Pet. to Convert to Prov. Appln. - Fee \$  
☐ Seq. Listings Pg's/Seq. Disk  
☒ DECLARATION of PRIOR INVENTION (2) of INVENTORS  
☒ COMMUNICATION FORWARDING FORMAL DRAWINGS

Initials

FEE TRANSMITTAL SHEET

82972/99A

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Fee \$ 930 (3 mos.)

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PRIOR INVENTION (2) of INVENTORS

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